

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

THEODORE J. ABERNATHY,

Plaintiff,

v.

CAROLYN W. COLVIN, Acting  
Commissioner of Social Security,<sup>1</sup>

Defendant.

Case No. 3:12-cv-05999-KLS

ORDER AFFIRMING DEFENDANT'S  
DECISION TO DENY BENEFITS

Plaintiff has brought this matter for judicial review of defendant's denial of his applications for disability insurance and supplemental security income ("SSI") benefits. Pursuant to 28 U.S.C. § 636(c), Federal Rule of Civil Procedure 73 and Local Rule MJR 13, the parties have consented to have this matter heard by the undersigned Magistrate Judge. After reviewing the parties' briefs and the remaining record, the Court hereby finds that for the reasons set forth below, defendant's decision to deny benefits should be affirmed.

FACTUAL AND PROCEDURAL HISTORY

On April 27, 2009, plaintiff filed an application for disability insurance benefits and another one for SSI benefits, alleging disability as of January 1, 2005, due to a bipolar disorder, an anxiety disorder, head trauma, shoulder problems, arthritis in his joints, and high blood pressure. See ECF #8, Administrative Record ("AR") 17, 168. Both applications were denied

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<sup>1</sup> On February 14, 2013, Carolyn W. Colvin became the Acting Commissioner of the Social Security Administration. Therefore, under Federal Rule of Civil Procedure 25(d)(1), Carolyn W. Colvin is substituted for Commissioner Michael J. Astrue as the Defendant in this suit. **The Clerk of Court is directed to update the docket accordingly.**

1 upon initial administrative review on September 18, 2009, and on reconsideration on March 9,  
2 2010. See AR 17. A hearing was held before an administrative law judge (“ALJ”) on March 3,  
3 2011, at which plaintiff, represented by counsel, appeared and testified, as did a vocational  
4 expert. See AR 33-70.

5 In a decision dated June 9, 2011, the ALJ determined plaintiff to be not disabled. See AR  
6 17-28. Plaintiff’s request for review of the ALJ’s decision was denied by the Appeals Council  
7 on September 21, 2012, making the ALJ’s decision the final decision of the Commissioner of  
8 Social Security (the “Commissioner”). See AR 1; see also 20 C.F.R. § 404.981, § 416.1481. On  
9 November 28, 2012, plaintiff filed a complaint in this Court seeking judicial review of the  
10 Commissioner’s final decision. See ECF #3. The administrative record was filed with the Court  
11 on March 4, 2013. See ECF #8. The parties have completed their briefing, and thus this matter is  
12 now ripe for the Court’s review.  
13

14 Plaintiff argues the Commissioner’s final decision should be reversed and remanded for  
15 an award of benefits because the ALJ erred: (1) in evaluating the medical opinion evidence in the  
16 record; and (2) in discounting plaintiff’s credibility. For the reasons set forth below, however,  
17 the Court disagrees that the ALJ erred as alleged – and thus erred in determining plaintiff to be  
18 not disabled – and therefore finds defendant’s decision to deny benefits should be affirmed.  
19

#### 20 DISCUSSION

21 The determination of the Commissioner that a claimant is not disabled must be upheld by  
22 the Court, if the “proper legal standards” have been applied by the Commissioner, and the  
23 “substantial evidence in the record as a whole supports” that determination. Hoffman v. Heckler,  
24 785 F.2d 1423, 1425 (9th Cir. 1986); see also Batson v. Commissioner of Social Security  
25 Admin., 359 F.3d 1190, 1193 (9th Cir. 2004); Carr v. Sullivan, 772 F.Supp. 522, 525 (E.D.  
26

1 Wash. 1991) (“A decision supported by substantial evidence will, nevertheless, be set aside if the  
 2 proper legal standards were not applied in weighing the evidence and making the decision.”)  
 3 (citing Browner v. Secretary of Health and Human Services, 839 F.2d 432, 433 (9th Cir. 1987)).

4 Substantial evidence is “such relevant evidence as a reasonable mind might accept as  
 5 adequate to support a conclusion.” Richardson v. Perales, 402 U.S. 389, 401 (1971) (citation  
 6 omitted); see also Batson, 359 F.3d at 1193 (“[T]he Commissioner’s findings are upheld if  
 7 supported by inferences reasonably drawn from the record.”). “The substantial evidence test  
 8 requires that the reviewing court determine” whether the Commissioner’s decision is “supported  
 9 by more than a scintilla of evidence, although less than a preponderance of the evidence is  
 10 required.” Sorenson v. Weinberger, 514 F.2d 1112, 1119 n.10 (9th Cir. 1975). “If the evidence  
 11 admits of more than one rational interpretation,” the Commissioner’s decision must be upheld.  
 12 Allen v. Heckler, 749 F.2d 577, 579 (9th Cir. 1984) (“Where there is conflicting evidence  
 13 sufficient to support either outcome, we must affirm the decision actually made.”) (quoting  
 14 Rhinehart v. Finch, 438 F.2d 920, 921 (9th Cir. 1971)).<sup>2</sup>

#### 17 I. The ALJ’s Evaluation of the Medical Evidence in the Record

18 The ALJ is responsible for determining credibility and resolving ambiguities and  
 19 conflicts in the medical evidence. See Reddick v. Chater, 157 F.3d 715, 722 (9th Cir. 1998).

20 Where the medical evidence in the record is not conclusive, “questions of credibility and  
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22 <sup>2</sup> As the Ninth Circuit has further explained:

23 . . . It is immaterial that the evidence in a case would permit a different conclusion than that  
 24 which the [Commissioner] reached. If the [Commissioner]’s findings are supported by  
 25 substantial evidence, the courts are required to accept them. It is the function of the  
 26 [Commissioner], and not the court’s to resolve conflicts in the evidence. While the court may  
 not try the case de novo, neither may it abdicate its traditional function of review. It must  
 scrutinize the record as a whole to determine whether the [Commissioner]’s conclusions are  
 rational. If they are . . . they must be upheld.

Sorenson, 514 F.2d at 1119 n.10.

1 resolution of conflicts” are solely the functions of the ALJ. Sample v. Schweiker, 694 F.2d 639,  
2 642 (9th Cir. 1982). In such cases, “the ALJ’s conclusion must be upheld.” Morgan v.  
3 Commissioner of the Social Sec. Admin., 169 F.3d 595, 601 (9th Cir. 1999). Determining  
4 whether inconsistencies in the medical evidence “are material (or are in fact inconsistencies at  
5 all) and whether certain factors are relevant to discount” the opinions of medical experts “falls  
6 within this responsibility.” Id. at 603.

7  
8 In resolving questions of credibility and conflicts in the evidence, an ALJ’s findings  
9 “must be supported by specific, cogent reasons.” Reddick, 157 F.3d at 725. The ALJ can do this  
10 “by setting out a detailed and thorough summary of the facts and conflicting clinical evidence,  
11 stating his interpretation thereof, and making findings.” Id. The ALJ also may draw inferences  
12 “logically flowing from the evidence.” Sample, 694 F.2d at 642. Further, the Court itself may  
13 draw “specific and legitimate inferences from the ALJ’s opinion.” Magallanes v. Bowen, 881  
14 F.2d 747, 755, (9th Cir. 1989).

15  
16 The ALJ must provide “clear and convincing” reasons for rejecting the uncontradicted  
17 opinion of either a treating or examining physician. Lester v. Chater, 81 F.3d 821, 830 (9th Cir.  
18 1996). Even when a treating or examining physician’s opinion is contradicted, that opinion “can  
19 only be rejected for specific and legitimate reasons that are supported by substantial evidence in  
20 the record.” Id. at 830-31. However, the ALJ “need not discuss *all* evidence presented” to him  
21 or her. Vincent on Behalf of Vincent v. Heckler, 739 F.3d 1393, 1394-95 (9th Cir. 1984)  
22 (citation omitted) (emphasis in original). The ALJ must only explain why “significant probative  
23 evidence has been rejected.” Id.; see also Cotter v. Harris, 642 F.2d 700, 706-07 (3rd Cir. 1981);  
24 Garfield v. Schweiker, 732 F.2d 605, 610 (7th Cir. 1984).

25  
26 In general, more weight is given to a treating physician’s opinion than to the opinions of

those who do not treat the claimant. See Lester, 81 F.3d at 830. On the other hand, an ALJ need not accept the opinion of a treating physician, “if that opinion is brief, conclusory, and inadequately supported by clinical findings” or “by the record as a whole.” Thomas v. Barnhart, 278 F.3d 947, 957 (9th Cir. 2002); Batson v. Commissioner of Social Sec. Admin., 359 F.3d 1190, 1195 (9th Cir. 2004); see also Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001); Matney on Behalf of Matney v. Sullivan, 981 F.2d 1016, 1019 (9th Cir. 1992). An examining physician’s opinion is “entitled to greater weight than the opinion of a nonexamining physician.” Lester, 81 F.3d at 830-31. A non-examining physician’s opinion may constitute substantial evidence if “it is consistent with other independent evidence in the record.” Id. at 830-31; Tonapetyan, 242 F.3d at 1149.

A. Dr. Parker

In regard to the medical opinion evidence in the record, plaintiff first takes issue with the ALJ’s following findings:

Robert Parker, PhD, evaluated the claimant in April 2008, noting severe depression, anxiety, and social withdrawal. Dr. Parker stated that the claimant does not have any cognitive functional limitations except for moderate difficulty with routine tasks. Dr. Parker determined that the claimant has marked or severe difficulty with social functions, including coworker interaction, public contact, and work pressure tolerance. Dr. Parker does not believe that the claimant could work regularly and continuously. Exhibit 6F.

I do not find Dr. Parker’s opinion to be reliable or accurate. The record does not support a finding that the claimant has marked to severe difficulty with social interaction and stress tolerance. He consistently went to group therapy sessions. See Exhibit 1F. He spends time with friends, even celebrating his birthday at a bar with them. Exhibit 4F, p. 24; see also Exhibits 1F, 20F. He was able to deal with a tax matter involving the Internal Revenue Service. Moreover, Dr. Parker did not list any other medical records that he reviewed or considered, nor did he appear to perform a mental status examination. Rather, he seemingly relied on the claimant’s statements. The claimant’s lack of credibility undermines the reliability of Dr. Parker’s assessment. For the foregoing reasons, I gave Dr. Parker’s opinion little weight.

1 AR 25. Specifically, plaintiff argues the ALJ's stated reasons for rejecting Dr. Parker's opinion  
2 are not legally sufficient. Although not all of those reasons can be upheld, the undersigned finds  
3 that overall the ALJ did not err in giving little weight to that opinion.

4 First, the undersigned agrees with plaintiff that the mere fact that he went to group  
5 therapy sessions consistently does not alone demonstrate an ability to maintain appropriate social  
6 interactions on a regular and consistent basis within a work setting. See SSR 96-8p, 1996 WL  
7 374184, at \*2 (providing that ordinarily, individual's residual functional capacity encompasses  
8 "maximum remaining ability to do sustained work activities in an ordinary work setting on a  
9 **regular and continuing basis**," meaning "8 hours a day, for 5 days a week, or an equivalent  
10 work schedule") (emphasis in original). Second, while the record indicates plaintiff has spent  
11 time with friends – including going to a bar with them to celebrate his birthday on one occasion –  
12 again it fails to establish a level of social interaction on plaintiff's part that is inconsistent with  
13 the limitations Dr. Parker found. See AR 51, 158, 161-62, 192, 366, 373, 529. Third, as plaintiff  
14 points out, the record further fails to show the extent to which he was occupied by dealing with  
15 the IRS or the impact dealing with it had on his stress tolerance. See AR 250-1.

16 Fourth, the fact that Dr. Parker "did not list any other medical records that he reviewed or  
17 considered" (AR 25) also is an insufficient reason for rejecting his medical opinion, given that as  
18 an examining psychologist there is no requirement that he actually rely on the medical records of  
19 other sources as opposed to his own clinical findings. Fifth, as defendant concedes, Dr. Parker in  
20 fact did provide a mental status examination. See AR 386. That being said, the undersigned  
21 finds the ALJ did not err in rejecting the opinion of Dr. Parker on the basis that he "seemingly  
22 relied on" plaintiff's statements, given that the ALJ also did not err in finding plaintiff to be less  
23 than fully credible as discussed further below. AR 25; see also Morgan, 169 F.3d 595, 601 (9th  
24  
25  
26

1 Cir. 1999) (medical opinion premised to large extent on claimant's own accounts of symptoms  
2 and limitations may be disregarded where those complaints have been properly discounted).

3 Plaintiff points out that the Ninth Circuit has stated "an ALJ does not provide clear and  
4 convincing reasons for rejecting an examining physician's opinion by questioning the credibility  
5 of the [claimant's] complaints where the [examining physician] does not discredit those  
6 complaints and supports his [or her] ultimate opinion with his [or her] own observations." Ryan  
7 v. Commissioner of Social Security, 528 F.3d 1194, 1199-1200 (9th Cir. 2008). In Ryan, the  
8 Court of Appeals went on to note there was "nothing in the record to suggest" the examining  
9 physician in that case relied on the claimant's own "description of her symptoms . . . more  
10 heavily than his own clinical observations." Id. at 1200. In this case, though, Dr. Parker's  
11 evaluation report contains little in the way of clinical findings or observations – including the  
12 results of the mental status examination noted above – that would support the severity of social  
13 functional limitation he found, but rather does seem to be premised almost entirely on plaintiff's  
14 self-reports as the ALJ noted. See AR 381-88.

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16  
17 B. Dr. Clark

18 Plaintiff also challenges the ALJ's following additional findings concerning the medical  
19 opinion evidence in the record:

20 Dr. [Roy D.] Clark[, Jr.,] evaluated the claimant in May 2009 and April 2010,  
21 stating that the claimant has marked to severe difficulty with simple and  
22 detailed instructions, coworker interaction, public contact, and work pressure  
23 tolerance. Exhibits 2F, 18F. Like Dr. Parker, I do not find Dr. Clark's social  
24 assessment to be consistent with the overall record as discussed above. Nor is  
25 Dr. Clark's cognitive assessment consistent with the record, which shows that  
26 he could follow instructions from his sister regarding caring for her horses and  
dogs. She directs him on yard work and house chores. See March 2011  
Hearing. I did not find Dr. Clark's opinion to be accurate. Therefore, I gave  
it little weight.

AR 26. First, plaintiff argues the ALJ failed to acknowledge the long-term treating relationship  
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1 Dr. Clark had with him. But while it may be true that as a treating physician Dr. Clark “was the  
2 most likely to understand how [his] functioning had changed over the years” – as well as the  
3 impact plaintiff’s mental health condition had on his ability to function over time (ECF #11, p.  
4 17) – based on that relationship, plaintiff has failed to show how any such failure on the ALJ’s  
5 part prejudiced him. That is, plaintiff has not pointed to anything specific in the medical records  
6 from Dr. Clark that calls the ALJ’s above findings into question.  
7

8 Plaintiff goes on to assert that instead of providing valid reasons for rejecting Dr. Clark’s  
9 opinion, he merely “offered two generic” ones. ECF #11, p. 17. The undersigned finds nothing  
10 generic about those stated reasons. For example, the ALJ stated that as with Dr. Parker, he did  
11 not find the social functioning limitations assessed by Dr. Clark “to be consistent with the overall  
12 record as discussed above.” AR 26. Read properly in context with the ALJ’s discussion of the  
13 reasons he rejected the social functioning limitations assessed by Dr. Parker, which immediately  
14 precedes his discussion of Dr. Clark’s opinion, it is reasonable to presume the ALJ was rejecting  
15 the latter’s opinion for the same reasons he did the former’s. In addition, while many of those  
16 reasons are not supported by substantial evidence as discussed above, the record does show that  
17 like Dr. Parker, Dr. Clark appears to have based the social functioning limitations he found for  
18 the most part on plaintiff’s own subjective complaints, particularly as Dr. Clark provided little in  
19 the way of clinical findings in support thereof. See AR 260-63, 473-78.  
20

21 The undersigned further finds the ALJ did not err in rejecting the cognitive limitations  
22 Dr. Clark found on the basis that they conflicted with evidence in the record indicating plaintiff  
23 was able to follow instructions from his sister regarding the care he provided for her horses and  
24 dogs, as well as the yard work and household chores he did. See AR 41-42, 56-59, 61-64, 469,  
25 485, 524, 527, 531, 537; see also Morgan, 169 F.3d at 601-02 (upholding rejection of physician’s  
26



1 conclusion that claimant suffered from marked limitations in part on basis of claimant's reported  
2 activities of daily living, contradicted that conclusion). Accordingly, the ALJ did not improperly  
3 reject the social functioning and cognitive limitations Dr. Clark assessed.

4 C. Dr. Eishenhauer and Dr. Fligstein

5 Lastly in terms of the medical evidence in the record, plaintiff argues the ALJ erred in  
6 giving greater weight to the functional assessments provided by Renee Eisenhauer, Ph.D., and  
7 Diane Fligstein, Ph.D., two non-examining psychologists, than to those from Dr. Parker and Dr.  
8 Clark. See AR 24-25, 413-29, 471. But as discussed above, the ALJ gave valid reasons for not  
9 adopting all of the functional limitations the latter two medical sources found. In addition, Drs.  
10 Eisenhauer and Fligstein did note other independent evidence in the record as support for their  
11 assessment, including other independent medical evidence. See AR 415. Accordingly, here too  
12 the undersigned finds no reversible error.

13  
14 II. The ALJ's Assessment of Plaintiff's Credibility

15 Questions of credibility are solely within the control of the ALJ. See Sample, 694 F.2d at  
16 642. The Court should not "second-guess" this credibility determination. Allen, 749 F.2d at 580.  
17 In addition, the Court may not reverse a credibility determination where that determination is  
18 based on contradictory or ambiguous evidence. See id. at 579. That some of the reasons for  
19 discrediting a claimant's testimony should properly be discounted does not render the ALJ's  
20 determination invalid, as long as that determination is supported by substantial evidence.  
21 Tonapetyan, 242 F.3d at 1148.

22 To reject a claimant's subjective complaints, the ALJ must provide "specific, cogent  
23 reasons for the disbelief." Lester, 81 F.3d at 834 (citation omitted). The ALJ "must identify what  
24 testimony is not credible and what evidence undermines the claimant's complaints." Id.; see also

1 Dodrill v. Shalala, 12 F.3d 915, 918 (9th Cir. 1993). Unless affirmative evidence shows the  
2 claimant is malingering, the ALJ's reasons for rejecting the claimant's testimony must be "clear  
3 and convincing." Lester, 81 F.2d at 834. The evidence as a whole must support a finding of  
4 malingering. See O'Donnell v. Barnhart, 318 F.3d 811, 818 (8th Cir. 2003).

5 In determining a claimant's credibility, the ALJ may consider "ordinary techniques of  
6 credibility evaluation," such as reputation for lying, prior inconsistent statements concerning  
7 symptoms, and other testimony that "appears less than candid." Smolen, 80 F.3d at 1284. The  
8 ALJ also may consider a claimant's work record and observations of physicians and other third  
9 parties regarding the nature, onset, duration, and frequency of symptoms. See id.

10 The ALJ in this case found plaintiff to be not fully credible with regard to his alleged  
11 symptoms and limitations for the following reasons:  
12

13 . . . Several inconsistencies in the record undermine [plaintiff's] overall  
14 credibility.

15 For instance, the claimant testified that he is not certain whether he fell or was  
16 assaulted. He told his providers at Valley Medical Center that someone  
17 assaulted him. Exhibit 3F. Yet, a year and half later after the incident, he told  
18 his providers at Navos that he was at a bar, walked out and wandered about  
19 six miles until he fell into a ditch, and then went to the hospital when his  
20 friends took him. Exhibit 20F. He testified that he works 2-3 hours per day  
21 caring for his sister's animals, although he told one provider that he works up  
22 to 5 hours or more hours per day on the house and the horses. Exhibit 20F, p.  
23 37, 47. He testified that he moved into this sister's home because he could not  
24 live on his own, yet he reported in April 2010 that he cares for his sister's  
25 horses while his own home is being remodeled. Exhibit 16F.

26 The claimant provided inconsistent information to others as well. He told his  
chemical dependency provider that he had only 2 alcoholic beverages.  
Exhibit 1F, p. 3. The emergency room report and the course of events suggest  
that he drank substantially more than 2 drinks. Exhibits 3F, 20F. He tested  
positive for cocaine in October 2007 and he has used marijuana for several  
years since 2005, even though he led some providers to believe that he did not  
use any drugs or alcohol. Exhibits 1F, 5-6F.

AR 23-24. Plaintiff argues the ALJ misrepresented the record in order to create inconsistencies

1 in his testimony that are not there. The undersigned does agree that the record does not support  
2 all of the inconsistencies the ALJ found. For example, in regard to the number of hours plaintiff  
3 worked per day caring for his sister's animals and doing other work around the house although  
4 the record varies somewhat on this issue, it overall shows plaintiff's testimony and self-reporting  
5 to be fairly consistent with each other. See AR 56, 62, 527, 531, 537. The record further fails to  
6 clearly show any real inconsistencies with respect to plaintiff's use of marijuana and self-reports  
7 with respect thereto. See AR 211-15, 217-20, 222-25, 227-35, 237, 369, 533, 572-73.  
8

9 On the other hand, the positive cocaine test the ALJ noted does conflict with plaintiff's  
10 self-reports of otherwise being clean and sober in the record. In addition, the undersigned finds  
11 it was reasonable for the ALJ to presume that the medical records concerning the incident where  
12 plaintiff lost consciousness after having gone to a bar in February 2009, and resulting visit to the  
13 emergency room, indicate that consumption of alcohol in an amount substantially more than the  
14 two drinks he contemporaneously reported had occurred. See AR 212, 279, 529. Also in regard  
15 to that incident, the ALJ properly found as well that plaintiff gave inconsistent statements to his  
16 medical providers with respect thereto. See AR 279, 529. Plaintiff's testimony and reports  
17 concerning his ability to work for up to several hours per day and follow his sister's instructions,  
18 furthermore, is not fully consistent with his alleged inability to live on his own. Thus, the ALJ  
19 did not err in discounting plaintiff's credibility based on these inconsistencies.  
20

21 The ALJ further discounted plaintiff's credibility on the following basis:  
22

23 I question the source of the claimant's alleged severe symptoms. His mental  
24 impairments are not new. He reported that he has had [a] bipolar disorder  
25 since childhood. Exhibit 20F, p. 41-45. However, he acknowledged that his  
26 motorcycle shop did well when his dad was "getting sick with Alzheimers."  
Exhibit 20F, p. 47. He also managed motorcycle stores and he attended  
college for several years. Exhibit 3-4E; see March 2011 Hearing. He saw  
psychiatrist Roy Clark, MD, for the past 25 years, presumably receiving  
medication and other treatment. See March 2011 Hearing. He was able to

1 work in spite of his mental impairment.

2 AR 24. The undersigned agrees with plaintiff that this was not a valid basis for discounting his  
3 credibility, as the record does indicate his condition worsened around the period of time he lost  
4 his motorcycle business, which was also around the time he alleges he first became disabled. See  
5 AR 370. In addition, while plaintiff did report in early September 2007, that he lost his business  
6 “due to financial problems influenced by his drug use” (AR 373), he reported at the same time  
7 that he had stopped coming in to work due to his depression (see AR 370). In other words, even  
8 if plaintiff’s substance abuse ultimately did cause him to lose his business, the record is unclear  
9 as to whether that level of abuse was the result of the mental health problems he was having –  
10 and therefore reflects the state of his overall mental health condition – at the time, or instead was  
11 an entirely separate issue as the ALJ indicated. See AR 236-38, 257-58, 399.  
12

13 Next, the ALJ found plaintiff to be not entirely credible because:  
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15 A number of unrelated events occurred after 2004. His motorcycle shop  
16 failed, which he himself attributed to his substance abuse problem. See, e.g.  
17 Exhibit 5F, p. 13. He had several disputes with his sister over the parents’  
18 health and heritance. Exhibit 2F, 4F. He now lives with her. Exhibit 1E,  
19 20F. He had a conflict with the Internal Revenue Service over business  
20 proceeds and taxes in 2006. Exhibit 2F. He had emotional difficulty when his  
21 parents’ passed in 2004 and 2008, with which he still struggled in 2010.  
22 Exhibit 2F, 4F, 20F. He sought psychiatric care in September 2009 where he  
23 did not sleep for several weeks and reported extreme paranoia, admitting that  
24 he had not been taking any psychotropic medications for a while. Exhibit  
25 12F. He has had no manic episodes since then, and he agreed to take Seroquel  
26 “again” in September 2009. See March 2011 Hearing; Exhibits 12F, 20F.  
Significantly, he stated that he “misses” the high feeling and that he was  
productive and social during manic episodes, suggesting that he purposefully  
stopped taking medication before the September 2009 incident. Exhibits 5F,  
20F.

I acknowledge that familial conflict and deaths, business failure, finances,  
government disputes and other factors could be a significant source of  
anguish, distress, and stress. The claimant continues to place himself in a  
living situation with his sister that likely exacerbates his problems. He likely  
has had little motivation to work when faced with the above circumstances.

1 Notwithstanding the impact of multiple stressors, these are external factors  
 2 that do not relate his mental impairment. Temporary and situational factors,  
 3 such as the death of a parent, cannot serve as the basis for a person's  
 4 disability. Nor can his apparent voluntary lack of treatment serve as the basis  
 of his disability because I must assess his ability in light of effective  
 treatment.

5 AR 24. Again, the undersigned agrees with plaintiff that much of the ALJ's characterization of  
 6 the above evidence is not clearly supported in the record. Although each of the events the ALJ  
 7 mentioned may be "unrelated" in the sense that they are separate happenings, the record fails to  
 8 clearly show they were merely "external factors" that caused temporary increases in plaintiff's  
 9 stress, as opposed to exacerbating already ongoing underlying mental health problems. See AR  
 10 218, 222, 250-53, 256-58, 307, 309, 319, 335, 350, 501, 507. If the latter is true, the "situational  
 11 factors" the ALJ notes instead would reveal the impact plaintiff's mental health condition had on  
 12 his ability to handle those types of stressors.  
 13

14 The undersigned, though, finds no error in the ALJ's determination to discount plaintiff's  
 15 credibility on the basis that he had stopped taking medications for a while. Although the record  
 16 does not clearly suggest plaintiff stopped doing so because he missed "the high feeling" he got  
 17 during his manic episodes (AR 25) – particularly given that he later resumed taking them and  
 18 recognized the greater benefit he received therefrom (see AR 501, 508, 532-33, 535) – plaintiff  
 19 did not provide any valid reason for having stopped in the first place. See Fair v. Bowen, 885  
 20 F.2d 597, 603 (9th Cir. 1989) (failure to assert good reason for not following prescribed course  
 21 treatment "can cast doubt on the sincerity of the claimant's pain testimony").<sup>3</sup> The ALJ further  
 22 did not err in noting the improvement plaintiff experienced once he resumed his medication as an  
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24  
 25 <sup>3</sup> Plaintiff also takes issue with the ALJ's failure "to elicit an explanation for the lack of medication." ECF #11, p.  
 26 11. But an ALJ's duty to further develop the record comes into play only where it contains "[a]mbiguous evidence"  
 or the ALJ has found "the record is inadequate to allow for proper evaluation of the evidence." Tonapetyan, 242  
 F.3d at 1150. Here, though, the evidence in the record is not ambiguous or inadequate, but rather shows the absence  
 of any valid explanation on plaintiff's part for having stopped taking his medication.

1 additional factor to consider in discounting his credibility. See Morgan, 169 F.3d at 599; Tidwell  
2 v. Apfel, 161 F.3d 599, 601 (9th Cir. 1998).

3 CONCLUSION

4 Based on the foregoing discussion, the Court hereby finds the ALJ properly concluded  
5 plaintiff was not disabled. Accordingly, defendant's decision to deny benefits is AFFIRMED.  
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7 DATED this 22nd day of November, 2013.

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11 Karen L. Strombom  
12 United States Magistrate Judge  
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